

**LEGALITY**

**ANTI-MONEY LAUNDERING COMPLIANCE CERTIFICATION  
FOR U.S. AND CANADIAN CUSTOMERS**

(Complete top half **OR** bottom half of this certification, not both)

This is to certify that (Legal Name) \_\_\_\_\_ has a written anti-money laundering program of compliance and supervisory procedures that complies with the Interim Final Rule under USA PATRIOT Act and the bank secrecy Act. Or, in Canada, the proceeds of Crime (Money Laundering) and Terrorist Financing Act (PCMLTFA).

Our anti-money laundering program provides for staff training and for periodic audits to test the efficacy of the anti-money laundering program and its system.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signed (Anti-Money Laundering Compliance Officer)

\_\_\_\_\_  
Date

**- OR -**

This is to certify that (Legal Name) \_\_\_\_\_ is not a dealer in precious metals, stones, and jewels as defined in the Interim Final Rule of the USA PATRIOT Act or the PCMLTFA, and is exempt from compliance.

Please specify reason for exemption:

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Date

